



Demonstration School - Compliance Audit

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Policies and Procedures	Comment	Review
Governor code of conduct has been read by all governors.		Not set
Gifts, hospitality, awards, prizes or benefits policy is up-to-date and has been seen by all staff		Not set
Accessibility plan is up-to-date, on the website and included in the SEN information report.		Not set
Accounting policies comply with FRS 102 and Charities SORP		Not set
Admission arrangements are compliant, up-to-date and published on the website.		Not set
Admission register in the MIS is up-to-date and parents have been asked to check details each year.		Not set
Behaviour policy and anti-bullying strategy are published on the school website.		Not set
Charging and remissions policy is published on the school website.		Not set
Child protection policy is up-to-date and published on the school website.		Not set
Child protection policy has been read by all staff since the last update.		Not set
Children with health needs who cannot attend school policy is published.		Not set
Competitive tendering policy exists and has been implemented.		Not set
Complaints procedure is published on the school website		Not set
Data protection policy is published on the school website		Not set
Designated teacher for (P)LAC is identified on website		Not set
Equality information and objectives are published on school website		Not set
Examination contingency plan is up-to-date		Not set
Exclusions policy is published on school website		Not set
First aid policy is published on school website		Not set
Freedom of information publication scheme is published		Not set
Governors' allowances policy has been read by all governors		Not set
Health and safety policy is up-to-date		Not set
Investment policy is up-to-date		Not set
Minutes of and papers considered at meetings of the governing board are stored		Not set
Early Career Teacher (ECT) policy is in place		Not set

Non-examination assessment policy - JCQ document to be published on school websites		Not set
Privacy notices are published		Not set
Protection of biometric information policy is published		Not set
Provider access policy statement is published on the school website		Not set
Risk assessment policy is up-to-date and shared with staff		Not set
SEND policy is on the school website		Not set
SEN information report is on the school website		Not set
Relationships and Sex education policy is on the website.		Not set
SCR is up-to-date		Not set
Staff discipline, capability and grievance procedures are up-to-date		Not set
Staff code of conduct has been acknowledged by all staff		Not set
Statement of procedures for dealing with allegations against staff is up-to-date		Not set
Supporting pupils with medical conditions policy is up-to-date and on the school website.		Not set
Teacher pay policy is up-to-date		Not set
Whistle-blowing procedure is up-to-date		Not set

School Website	Comment	Review
Careers programme information meets requirements and is on website		Not set
Details of how to access DfE performance data is linked		Not set
Details of pupil premium allocation, use and impact is published		Not set
Remote learning arrangements and provision is published		Not set
Executive pay is published on Trust website - the number of employees whose benefits exceeded £100k, in £10k bandings		Not set
Annual report		Not set
Funding agreement, Articles of association and Current memorandum of association on Trust website		Not set
Supplementary Funding Agreement on school website		Not set
Governors'Trustees details - Name, date of appointment, date stepped down (if applicable) published on website		Not set
Governors'Trustees details - Register of interests published on website		Not set
Governors'Trustees details - Attendance record of meetings over the last academic year published on website		Not set
Information on curriculum provision, content, courses at KS4 and KS5 (if appropriate) and approach		Not set
Key Stage 4 results statement is published		Not set
Key Stage 5 information is published		Not set
School contact details published		Not set
Most recent Ofsted report is published or linked to the report on the Ofsted website.		Not set
Statement on the school's ethos and values is published		Not set
Trade union facility time is published (if required)		Not set

Recruitment Checks	Comment	Review
Checks on agency and third-party staff are up-to-date		Not set
Checks on contractors are up-to-date		Not set
Checks on governors are up-to-date		Not set
Checks on staff employed by the school are up-to-date		Not set
Checks on volunteers are up-to-date		Not set

Safeguarding	Comment	Review
Child protection training provided to all staff on induction and is updated on a regular basis.		Not set
Children missing education - the admissions register is correct and attendance is monitored		Not set
Designated safeguarding lead - a senior member of staff from the leadership team is appointed to act as the designated safeguarding lead (DSL)		Not set
Governor and trustee are aware of their and the schools safeguarding roles and responsibilities and have the appropriate annual update training		Not set
Procedures in place in school for reporting and handling concerns about or allegations against staff members (including the headteacher, volunteers and supply staff).		Not set
Multi-agency working for safeguarding - the school co-operates with the published arrangements of the 3 local safeguarding partners.		Not set
Other policies and procedures linked to safeguarding e.g. behaviour, recruitment and selection, whistleblowing.		Not set
Other safeguarding roles and training - Appoint a designated teacher to promote the educational achievement of looked after and previously looked after children, and this person has had appropriate training.		Not set
Protecting vulnerable children - Procedures are in place for responding to CME, to help identify the risk of abuse and neglect and prevent the risks of them going missing in future and to protect children at risk of radicalisation.		Not set
Pupils' experiences of safeguarding - Have systems in place for children to express their views and give feedback about the measures in place to protect them.		Not set
Safeguarding in work and host family placements - ensure that an enhanced DBS is issued for work supervisors and host families.		Not set
Safer recruitment of contractors - ensure all contractors have an enhanced DBS and check the identity of contractors and their staff on arrival at our school.		Not set
Safer recruitment of governors and trustees - An enhanced Disclosure and Barring Service (DBS) and a barred list check has been done		Not set
Safer recruitment of staff - at least one of the panel for all interviews has completed safer recruitment training		Not set
Safer recruitment of volunteers - We ensure that visitors have an enhanced Disclosure and Barring Service (DBS) certificate with barred list information if they are unsupervised volunteers who teach or look after children regularly.		Not set

Health and Safety	Comment	Review
Asbestos management strategy and plan in place.		Not set
Fire: premises and emergency procedures published and on display		Not set
Fire: information on procedures is shared with all staff and visitors.		Not set
Fire: staff responsibilities are published and practiced.		Not set
First aid: accommodation - suitable and sufficient accommodation for first aid is provided.		Not set
First aid: equipment and facilities - adequate and appropriate equipment, facilities and qualified first aid staff is provided.		Not set
First aid: information is provided for all employees on first aid arrangements.		Not set
Health and safety responsibilities: all staff are aware of their responsibility for their own health and safety and that of others who may be affected by what they do, or fail to do.		Not set
Health and safety responsibilities: sensible approaches to health and safety are in place and employees have the relevant information and training to manage risks on a day to day basis.		Not set
Health and safety responsibilities: the LGB take reasonable steps to make sure that the school is following the employer's policy and procedures.		Not set
Health and safety responsibilities: the headteacher has ensured that the school is following the employer's health and safety policy and has effective arrangements for managing the real health and safety risks at the school.		Not set
Health and safety responsibilities: nominated lead has sufficient authority to take the lead responsibility for health and safety		Not set
Incident reporting: near misses are reported		Not set
Incident reporting: injuries to pupils and visitors are reported.		Not set
Incident reporting: record keeping - records are kept for at least 3 years of any RIDDOR incident,		Not set
Incident reporting: the Health and Safety Executive (HSE) is notified of accidents which result in death or a specified injury without delay.		Not set
Risk assessment: asbestos (in place and an asbestos register is kept)		Not set
Risk assessment: display screen equipment - an assessment of the risks to health and safety facing staff as a result of their workstations has been carried out and risk reduced to the lowest possible level.		Not set

Risk assessment: fire - has been completed annually		Not set
Risk assessment: first aid - a risk assessment to determine what first aid provision your school needs to provide in addition to the basic requirements has been carried out.		Not set
Risk assessment: manual handling - has been carried out and training/guidance provided for all staff		Not set
Risk assessment: substances hazardous to health - an assessment of the risks of any substances hazardous to health on school premises has been conducted		Not set
Risk assessment: work at height - has been carried out and staff have been trained		Not set

Financial Management	Comment	Review
Annual accounts - published and submitted annually		Not set
Asset management - there is a compliant asset disposal policy that is implemented		Not set
Register of interests is stored in detail and published in summary on the school website.		Not set
Conflicts of interest and related parties - measures in place to manage conflicts of interest and related party transactions		Not set
Finance: working with external bodies - instances of fraud, theft and/or irregularity are reported		Not set
Financial audits - an external audit of accounts is conducted		Not set
Financial control framework - is established to ensure that delegated financial authorities are complied with, and segregation of duties maintained		Not set
Funding and budget management - a balanced budget has been set and approved.		Not set
Insurance - adequate insurance cover in compliance with its legal obligations is in place, or RPA cover.		Not set
Principles of financial management - Trustees and managers must maintain robust oversight of the academy trust.		Not set
Retention of finance records - all records necessary are kept for at least 6 years after the end of the period to which funding relates.		Not set
Risk management - The academy trust must manage risks and maintain a risk register to be reviewed at least annually		Not set
Severance and other payments - the ESFA's approval is sought for a non-contractual/non-statutory compensation payment of £50,000+ and for any ex gratia payments		Not set

GDPR	Comment	Review
No Compliance items active		